



Attachment # 9

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 0652.2480001/EKS/CML	APPLICATION NO. 09/631,863
	APPLICANT Konopitzky <i>et al.</i>	
	FILING DATE August 3, 2000	GROUP 1646

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
TSC	AL1	WO 95/04542	02/16/1995	WIPO			Yes No
	AM1	WO 96/10413	04/11/1996	WIPO			Yes No
	AN1	WO 97/30721	08/28/1997	WIPO			Yes No X
	AO1						Yes No
	AP1						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

TSC	AR	1	Banfi, S., <i>et al.</i> , "Identification and mapping of human cDNAs homologous to <i>Drosophila</i> mutant genes through EST database searching," <i>Nat. Genet.</i> 13:167-174, Nature Publishing Co. (1996).
	AS	1	Becker, D., <i>et al.</i> , "Flow-cytometric screening for the modulation of receptor-mediated endocytosis in human dendritic cells: implications for the development of an in vitro technique for predictive testing of contact sensitizers," <i>J. Immunol. Methods</i> 203:171-180, Elsevier Science B.V. (1997).
	AT	1	Blake, J., <i>et al.</i> , "Use of Combinatorial Peptide Libraries to Construct Functional Mimics of Tumor Epitopes Recognized by MHC Class-I-Restricted Cytolytic T Lymphocytes," <i>J. Exp. Med.</i> 184:121-130, The Rockefeller University Press (1996).

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August 3, 2000GROUP  
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## U.S. PATENT DOCUMENTS

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	AL						Yes No
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	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

TAS	AR	2	Boon, T., <i>et al.</i> , "Tumor antigens recognized by T lymphocytes," <i>Annu. Rev. Immunol.</i> 12:337-365, Annual Reviews, Inc. (1994).
↓	AS	2	Bork, P. and Koonin, E.V., "Predicting functions from protein sequences-where are the bottlenecks?" <i>Nat. Genet.</i> 18:313-318, Nature America, Inc. (April 1998).
↓	AT	2	Buschle, M., <i>et al.</i> , "Transloading of tumor antigen-derived peptides into antigen-presenting cells," <i>Proc. Natl. Acad. Sci. USA</i> 94:3256-3261, National Academy of Sciences (1997).

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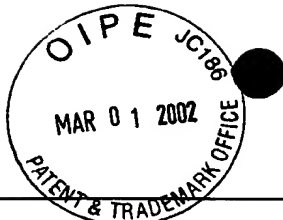
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

TK	AR	3	Chen, Y.-T., <i>et al.</i> , "A testicular antigen aberrantly expressed in human cancers detected by autologous antibody screening," <i>Proc. Natl. Acad. Sci. USA</i> 94:1914-1918, National Academy of Sciences (1997).
↓	AS	3	Gaudin, C., <i>et al.</i> , "A hsp70-2 Mutation Recognized by CTL on a Human Renal Cell Carcinoma," <i>J. Immunol.</i> 162:1730-1738, The American Association of Immunologists (February 1999).
↓	AT	3	Hogan, K.T., <i>et al.</i> , "The Peptide Recognized by HLA-A68.2-restricted, Squamous Cell Carcinoma of the Lung-specific Cytotoxic T Lymphocytes Is Derived from a Mutated Elongation Factor 2 Gene," <i>Cancer Res.</i> 58:5144-5150, American Cancer Society (November 1998).

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	AR	<u>4</u>	Jäger, M., <i>et al.</i> , "Simultaneous Humoral and Cellular Immune Response against Cancer-Testis Antigen NY-ESO-1: Definition of human Histocompatibility Leukocyte Antigen (HLA)-A2-binding Peptide Epitopes," <i>J. Exp. Med.</i> 187:265-270, The Rockefeller University Press (January 1998).
	AS	<u>4</u>	Jessup, J.M. and Loda, M., "Prognostic Markers in Rectal Carcinoma," <i>Semin. Surg. Oncol.</i> 15:131-140, John Wiley & Sons, Inc. (September 1998).
	AT	<u>4</u>	Kawakami, Y., <i>et al.</i> , "Recognition of Multiple Epitopes in the Human Melanoma Antigen gp100 by Tumor-Infiltrating T Lymphocytes Associated with In Vivo Tumor Regression," <i>J. Immunol.</i> 154:3961-3968, The American Association of Immunologists (1995).

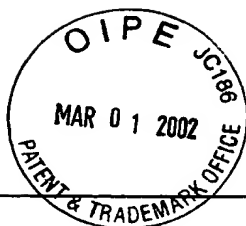
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AK	AR	5	Koonin, E.V., <i>et al.</i> , "Yeast chromosome III: new gene functions," <i>EMBO J.</i> 13:493-503, Oxford University Press (1994).
	AS	5	Lethé, B., <i>et al.</i> , "LAGE-1, A New Gene with Tumor Specificity," <i>Int. J. Cancer</i> 76:903-908, John Wiley & Sons, Inc. (June 1998).
	AT	5	Mandruzzato, S., <i>et al.</i> , "A CASP-8 Mutation Recognized by Cytolytic T Lymphocytes on a Human Head and Neck Carcinoma," <i>J. Exp. Med.</i> 186:785-793, The Rockefeller University Press (1997).

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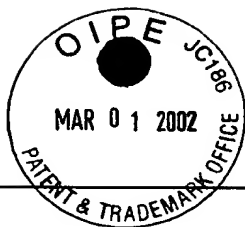
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>6</u>	Mayordomo, J.I., <i>et al.</i> , "Bone marrow-derived dendritic cells pulsed with synthetic tumour peptides elicit protective and therapeutic antitumour immunity," <i>Nat. Med.</i> 1:1297-1302, Nature Publishing Co. (1995).
	AS	<u>6</u>	Murphy, G.P., <i>et al.</i> , "Current Evaluation of the Tissue Localization and Diagnostic Utility of Prostate Specific Membrane Antigen," <i>Cancer</i> 83:2259-2269, American Cancer Society (December 1998).
	AT	<u>6</u>	Pardoll, D.M., "Cancer vaccines," <i>Nat. Med.</i> 4:525-531, Nature America, Inc. (May 1998).

EXAMINER <i>M.T. Davis</i>	DATE CONSIDERED <i>12/10/02</i>
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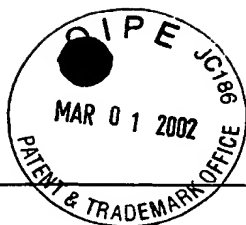
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	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	<u>Z</u>	Parker, K.C., <i>et al.</i> , "Scheme for Ranking Potential HLA-A2 Binding Peptides Based on Independent Binding of Individual Peptide Side-Chains," <i>J. Immunol.</i> 152:163-175, The American Association of Immunologists (1994).
	AS	<u>Z</u>	Parkhurst, M.R., <i>et al.</i> , "Improved Induction of Melanoma-Reactive CTL with Peptides from the Melanoma Antigen gp100 Modified at HLA-A*0201-Binding Residues," <i>J. Immunol.</i> 157:2359-2548, The American Association of Immunologists (1996).
	AT	<u>Z</u>	Paterson, Y. and Ikonomidis, G., "Recombinant <i>Listeria monocytogenes</i> cancer vaccines," <i>Curr. Opin. Immunol.</i> 5:664-669, Current Biology Ltd. (1996).

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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>8</u>	Rammensee, H.-G., <i>et al.</i> , "MHC ligand and peptide motifs: first listing," <i>Immunogenetics</i> 44:178-228, Springer-Verlag (1995).
	AS	<u>8</u>	Ravaioli, A., <i>et al.</i> , "Prognosis and prediction of response in breast cancer: the current role of the main biological markers," <i>Cell Prolif.</i> 31:113-126, Blackwell Science Ltd. (June 1998).
	AT	<u>8</u>	Restifo, N.P., "The new vaccines: building viruses that elicit antitumor immunity," <i>Curr. Opin. Immunol.</i> 8:658-663, Current Biology Ltd. (1996).

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	2	Révillion, F., <i>et al.</i> , "ERBB2 Oncogene in Human Breast Cancer and its Clinical Significance," <i>Eur. J. Cancer</i> 34:791-808, Elsevier Science Ltd. (May 1998).
	AS	2	Robbins, P.F. and Kawakami, Y., "Human tumor antigens recognized by T cells," <i>Curr. Opin. Immunol.</i> 8:628-636, Current Biology Ltd. (1996).
	AT	2	Schmidt, W., <i>et al.</i> , "Cell-free tumor antigen peptide-based cancer vaccines," <i>Proc. Natl. Acad. Sci. USA</i> 94:3262-3267, National Academy of Sciences (1997).

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	10	Sharp, P.M. and Lloyd, A.T., "Regional base composition variation along yeast chromosome III: evolution of chromosome primary structure," <i>Nucl. Acids Res.</i> 21:179-183, Oxford University Press (1993).
	AS	10	Tighe, H., <i>et al.</i> , "Gene vaccination: plasmid DNA is more than just a blueprint," <i>Immunol. Today</i> 19:89-97, Elsevier Science Ltd. (February 1998).
	AT	10	Tüting, T., <i>et al.</i> , "Genetically modified bone marrow-derived dendritic cells expressing tumor-associated viral or "self" antigens induce antitumor immunity <i>in vivo</i> ," <i>Eur. J. Immunol.</i> 27:2702-2707, Wiley-VCH Verlag GmbH (1997).

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	AR	11	Van den Eynde, B.J. and van der Bruggen, P., "T cell defined tumor antigens," <i>Curr. Opin. Immunol.</i> 9:684-693, Current Biology Ltd. (1997).
	AS	11	Van den Eynde, B. and Brichard, V.G., "New tumor antigens recognized by T cells," <i>Curr. Opin. Immunol.</i> 7:674-681, Current Biology Ltd. (1995).
	AT	11	Wax, S.D., et al., "Identification of a Novel Growth Factor-responsive Gene in Vascular Smooth Muscle Cells," <i>J. Biol. Chem.</i> 269:13041-13047, The American Society for Biochemistry and Molecular Biology, Inc. (1994).

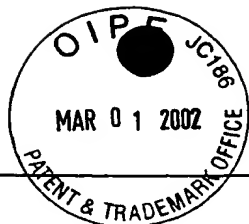
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
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	AR	<u>12</u>	Wolfel, T., <i>et al.</i> , "A p16 <sup>INK4a</sup> -Insensitive CDK4 Mutant Targeted by Cytolytic T Lymphocytes in a Human Melanoma," <i>Science</i> 269:1281-1284, American Association for the Advancement of Science (1995).
	AS	<u>12</u>	Zitvogel, L., <i>et al.</i> , "Therapy of Murine Tumors with Tumor Peptide-pulsed Dendritic Cells: Dependence on T Cells, B7 Costimulation, and T Helper Cell 1-associated Cytokines," <i>J. Exp. Med.</i> 183:87-97, The Rockefeller University Press (1996).
	AT	<u>12</u>	Dialog File 351, Accession No. 11456955, Derwent WPI English language abstract for WO 97/30721 (Document AN1).

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